

REPORT

Audit report: Entrepreneur Education Pty Ltd.

Date/s of audit: 18/05/2017

Date report created: 23/05/2017

Organisation details

Organisation's legal name: Entrepreneur Education Pty Ltd.

Trading name/s: Entrepreneur Education

RTO number: 40952 CRICOS number: 03432G

Audit team

Lead auditor: Mark Shrubshall

Assistant/s: N/A

Audit details

Application number/s: N/A

Audit number: AUDREC0000451

Audit reason Post initial

Address of site/s visited: L1-4 Chevron Renaissance 3240 Surfers Paradise Bou,QLD 4217

Date/s of audit: 18/05/2017

Organisation's contact for audit: Mrs Hutchings Chief Executive Officer

ceo@entrepreneur.edu.au 0755386569

Original finding at time of audit

Audit finding: Compliant

Report completed by: Mark Shrubshall

Practice	Standards for RTOs 2015	Finding
Marketing/Recruitment	4.1	Not audited
Enrolment	5.1, 5.2, 5.3, 7.3	Compliant
Support and Progression	1.7	Compliant
Training and Assessment	1.1, 1.2, 1.3, 1.8, 1.13-1.18, 1.20	Compliant
Completion	3.1	Compliant
Regulatory Compliance / Governance	2.3, 2.4, 8.2	Compliant

Background

Entrepreneur Education Pty Ltd provides training and assessment in qualifications from the BSB and CUA training packages. The organisation stated that they were considering future expansion to scope in the area of hospitality.

Summary of RTO organisation and management structure:

 The organisations management structure consists of CEO, Director of Marketing, General Manager, Trainer and Assessors, Student Services & Administration Manager & Administration Officer.

Scope of RTOs registration:

• BSB40615 Certificate IV in Business Sales

- BSB50215 Diploma of Business
- BSB51915 Diploma of leadership and Management
- BSB61015 Advanced Diploma of Leadership and Management
- BSB51415 Diploma of Project Management
- CUA50715 Diploma of Graphic Design

Suburb and state of all delivery sites:

- Delivery is conducted (Face to face)
- Delivery takes place:
 - o Queensland Gold Coast

Third party usage:

- The organisation has the following third-party arrangements with 2D Strategic's Pty Ltd for the delivery and assessment of:
 - o BSB51915 Diploma of Leadership and Management
 - o BSB50215 Diploma of Business
 - o BSB40615 Certificate IV in Business Sales

Core clients/target groups:

- International students
- Domestic students new to industry
- Domestic students employed or those looking for employment

Training Revenue (Funded or fee for service):

• Fee for service.

Total number of current enrolments in RTO as at audit date:

• 229

In preparing the audit report, consideration has been given and reference made, where relevant, to:

- Information provided by students as part of a student survey or interview.
- Information provided directly by Entrepreneur Education Pty Ltd to ASQA
- Existing information and records held by ASQA concerning Entrepreneur Education Pty Ltd
- Information provided to ASQA's auditors and documentation reviewed during the site audit of Entrepreneur Education Pty Ltd conducted on 18 May 2017.

Audit Sample

Code	Training products	Mode/s of delivery / assessment*	Current enrolments
BSB61015 CUA50715	Advanced Diploma of Leadership and Management Diploma of Graphic Design	Face to face Face to face	68 31
		Face to face	4

^{*}Apprenticeship, Traineeship, Face to face, Distance, Online, Workplace, Mixed, Other (specify)

Interviewees

Name	Position	Training products
Vicki Shearer	General Manager	N/A
Ben Hutchings	Sales and Marketing	N/A
Alison Hutchings	Chief Executive Officer	N/A
Katie Hutchings	Admin Manager	N/A
Gareth Philpott	Trainer/Assessor	BSB40615 Certificate IV in Business Sales
Belinda Gillies	Trainer/Assessor	CUA50715 Diploma of Graphic Design

About this Report

This report details findings against the *Standards for Registered Training Organisations 2015*. If non-compliance has been identified, this report describes evidence of the non-compliance.

Where non-compliance has been identified, the Registered Training Organisation is accountable for identifying and correcting non-compliant practices and behaviours, particularly those that have had a negative impact on learners.

Correcting a non-compliance may require:

- correcting a process or system that has led to the non-compliance, and implementing a revised process or system
- identifying the impact on learners and carrying out remedial action for current and past learners

Action required by RTO

Entrepreneur Education Pty Ltd demonstrated that its behaviours and practices met the requirements of the Standards for RTOs 2015 in particular Clauses 5.1, 5.2, 5.3, 7.3, 1.7, 1.1, 1.2, 1.3, 1.8, 1.13-1.16, 3.1, 2.3, 2.4, 8.2. and 2.1. Remedial action is not required by the organisation

Enrolment

Clause 5.1

Prior to enrolment or the commencement of training and assessment, whichever comes first, the RTO provides advice to the prospective learner about the training product appropriate to meeting the learner's needs, taking into account the individual's existing skills and competencies.

Clause 5.2

Prior to enrolment or the commencement of training and assessment, whichever comes first, the RTO provides, in print or through referral to an electronic copy, current and accurate information that enables the learner to make informed decisions about undertaking training with the RTO and at a minimum includes the following content:

- a) the code, title and currency of the training product to which the learner is to be enrolled, as published on the National Register;
- b) the training and assessment, and related educational and support services the RTO will provide to the learner including the:
 - i) estimated duration;
 - ii) expected locations at which it will be provided;
 - iii) expected modes of delivery;
 - iv) name and contact details of any third party that will provide training and/or assessment, and related educational and support services to the learner on the RTO's behalf; and
 - v) any work placement arrangements.
- c) the RTO's obligations to the learner, including that the RTO is responsible for the quality of the training and assessment in compliance with these Standards, and for the issuance of the AQF certification documentation.
- d) the learner's rights, including:
 - i) details of the RTO's complaints and appeals process required by Standard 6; and
 - ii) if the RTO, or a third party delivering training and assessment on its behalf, closes or ceases to deliver any part of the training product that the learner is enrolled in;
- e) the learner's obligations:
 - i) in relation to the repayment of any debt to be incurred under the VET FEE-HELP scheme arising from the provision of services;
 - ii) any requirements the RTO requires the learner to meet to enter and successfully complete their chosen training product; and
 - iii) any materials and equipment that the learner must provide; and
- f) information on the implications for the learner of government training entitlements and subsidy arrangements in relation to the delivery of the services.

Findings: Compliant

Clause 5.3

Where the RTO collects fees from the individual learner, either directly or through a third party, the RTO provides or directs the learner to information prior to enrolment or the commencement of training and assessment, whichever comes first, specifying:

- a) all relevant fee information including:
 - i) fees that must be paid to the RTO; and

ii) payment terms and conditions including deposits and refunds;b) the learner's rights as a consumer, including but not limited to any staperiod, if one applies;	itutory	cooli	ng-off
c) the learner's right to obtain a refund for services not provided by the the:	RTO	in the	event
i) arrangement is terminated early; orii) the RTO fails to provide the agreed services.			
		1	
Findings: Compliant			
Clause 7.3 Where the RTO requires, either directly or through a third party, a prospective to prepay fees in excess of a total of \$1500 (being the threshold prepaid fee a must meet the requirements set out in the Requirements for Fee Protection in Sc	amour	nt), the	
	1	ı	
Findings: Compliant			
Support and Progression			
Clause 1.7 The RTO determines the support needs of individual learners and provide educational and support services necessary for the individual learner to meet the training product as specified in training packages or VET accredited courses	e requ		

Findings:Compliant

Training and Assessment

Clause 1.1

The RTO's training and assessment strategies and practices, including the amount of training they provide, are consistent with the requirements of training packages and VET accredited courses and enable each learner to meet the requirements for each unit of competency or module in which they are enrolled.

Clause 1.2

For the purposes of <u>Clause 1.1</u>, the RTO determines the amount of training they provide to each learner with regard to:

- a) the existing skills, knowledge and the experience of the learner;
- b) the mode of delivery; and
- c) where a full qualification is not being delivered, the number of units and/or modules being delivered as a proportion of the full qualification.

	·	

Findings: Compliant

Clause 1.3

The RTO has, for all of its scope of registration, and consistent with its training and assessment strategies, sufficient:

- a) trainers and assessors to deliver the training and assessment;
- b) educational and support services to meet the needs of the learner cohort/s undertaking the training and assessment;
- c) learning resources to enable learners to meet the requirements for each unit of competency, and which are accessible to the learner regardless of location or mode of delivery; and
- d) facilities, whether physical or virtual, and equipment to accommodate and support the number of learners undertaking the training and assessment.

	1	
	1	
	1	
		L

Findings: Compliant

Clause 1.8

The RTO implements an assessment system that ensures that assessment (including recognition of prior learning):

- a) complies with the assessment requirements of the relevant training package or VET accredited course; and
- b) is conducted in accordance with the Principles of Assessment contained in Table 1.8-1 and the Rules of Evidence contained in Table 1.8-2.

Findings: Compliant			
Clause 1.13 In addition to the requirements specified in Clause 1.14 and Clause 1.15, the Rassessment is delivered only by persons who have: a) vocational competencies at least to the level being delivered and assess b) current industry skills directly relevant to the training and assessment and c) current knowledge and skills in vocational training and learning that informand assessment. Industry experts may also be involved in the assessment judgement, working trainer and/or assessor to conduct the assessment.	sed; et bein orms tl	g prov	vided; aining
Clause 1.14 The RTO's training and assessment is delivered only by persons who have: a) prior to 1 January 2016, the training and assessment qualification speltem 2 of Schedule 1, or demonstrated equivalence of competencies; and b) from 1 January 2016, the training and assessment qualification specified 2 of Schedule 1.			
Clause 1.15 Where a person conducts assessment only, the RTO ensures that the person has a) prior to 1 January 2016, the training and assessment qualification spe Item 2 or Item 3 of Schedule 1, or demonstrated equivalence of competence b) from 1 January 2016, Item 1 or Item 2 or Item 3 of Schedule 1.	cified		n 1 or
Clause 1.16 The RTO ensures that all trainers and assessors undertake professional develop of the knowledge and practice of vocational training, learning and assessment.			
Industry experts may also be involved in the assessment judgement, working trainer and/or assessor to conduct the assessment. Clause 1.14 The RTO's training and assessment is delivered only by persons who have: a) prior to 1 January 2016, the training and assessment qualification specified 2 of Schedule 1, or demonstrated equivalence of competencies; and b) from 1 January 2016, the training and assessment qualification specified 2 of Schedule 1. Clause 1.15 Where a person conducts assessment only, the RTO ensures that the person has a) prior to 1 January 2016, the training and assessment qualification specified 2 or Item 3 of Schedule 1, or demonstrated equivalence of competence b) from 1 January 2016, Item 1 or Item 2 or Item 3 of Schedule 1. Clause 1.16 The RTO ensures that all trainers and assessors undertake professional develop of the knowledge and practice of vocational training, learning and assessors	cified d in Ite	in Iten	n 1 or r Item n 1 or fields

Findings: Compliant

Completion

Clause 3.1 The RTO issues AQF certification documentation only to a learner whom it ha meeting the requirements of the training product as specified in the relevant train VET accredited course.		

Findings:Compliant

Clause 2.3 The RTO ensures that where services are provided on its behalf by a third party the provision of those services is the subject of a written agreement. Clause 2.4 The RTO has sufficient strategies and resources to systematically monitor any services delivered on its behalf, and uses these to ensure that the services delivered comply with these Standards at all times.			
			1
			<u> </u>
Findings: Compliant			
Clause 8.2 The RTO ensures that any third party delivering services on its behalf is required under written agreement to cooperate with the VET Regulator: a) by providing accurate and factual responses to information requests from the VET Regulator relevant to the delivery of services; and b) in the conduct of audits and the monitoring of its operations.			

Findings: Compliant

Regulatory Compliance / Governance